Chapter 1 Introduction

of an outcome. The modern notion of rational decision making under uncertainty involves maximizing expected utility, as explained in Chapter 16.

In matters of ethics and public policy, a decision maker must consider the interests of multiple individuals. Jeremy Bentham (1823) and John Stuart Mill (1863) promoted the idea of utilitarianism: that rational decision making based on maximizing utility should apply to all spheres of human activity, including public policy decisions made on behalf of many individuals. Utilitarianism is a specific kind of consequentialism: the idea that what is right and wrong is determined by the expected outcomes of an action.

In contrast, Immanuel Kant, in 1785 proposed a theory of rule-based or deontological ethics, in which “doing the right thing” is determined not by outcomes but by universal social laws that govern allowable actions, such as “don’t lie” or “don’t kill.” Thus, a utilitarian could tell a white lie if the expected good outweighs the bad, but a Kantian would be bound not to, because lying is inherently wrong. Mill acknowledged the value of rules, but understood them as efficient decision procedures compiled from first-principles reasoning about consequences. Many modern AI systems adopt exactly this approach.

1.2.2 Mathematics

- What are the formal rules to draw valid conclusions?
- What can be computed?
- How do we reason with uncertain information?

Philosophers staked out some of the fundamental ideas of AI, but the leap to a formal science required the mathematization of logic and probability and the introduction of a new branch of mathematics: computation.

The idea of formal logic can be traced back to the philosophers of ancient Greece, India, and China, but its mathematical development really began with the work of George Boole (1815–1864), who worked out the details of propositional, or Boolean, logic (Boole, 1847). In 1879, Gottlob Frege (1848–1925) extended Boole’s logic to include objects and relations, creating the first-order logic that is used today. In addition to its central role in the early period of AI research, first-order logic motivated the work of Gödel and Turing that underpinned computation itself, as we explain below.

The theory of probability can be seen as generalizing logic to situations with uncertain information—a consideration of great importance for AI. Gerolamo Cardano (1501–1576) first framed the idea of probability, describing it in terms of the possible outcomes of gambling events. In 1654, Blaise Pascal (1623–1662), in a letter to Pierre Fermat (1601–1665), showed how to predict the future of an unfinished gambling game and assign average payoffs to the gamblers. Probability quickly became an invaluable part of the quantitative sciences, helping to deal with uncertain measurements and incomplete theories. Jacob Bernoulli (1654–1705, uncle of Daniel), Pierre Laplace (1749–1827), and others advanced the theory and introduced new statistical methods. Thomas Bayes (1702–1761) proposed a rule for updating probabilities in the light of new evidence; Bayes’ rule is a crucial tool for AI systems.

The formalization of probability, combined with the availability of data, led to the emergence of statistics as a field. One of the first uses was John Graunt’s analysis of Lon-

5 Frege’s proposed notation for first-order logic—an arcane combination of textual and geometric features—never became popular.